

Retirement Risk Questionnaire

This questionnaire consists of 13 questions



Client Name: **Date:**

1 How would you describe your typical attitude when making important financial decisions?

- Very Adventurous
- Fairly adventurous
- Average
- Fairly cautious
- Very cautious

2 I would go for the best possible income from my money even if there were risk involved.

- Always
- Usually
- Sometimes
- Rarely
- Never

3 I would prefer a lower guaranteed income to a larger uncertain one.

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

4 When considering a major financial decision which statement BEST describes the way you think about the possible gains and losses?

- I'm excited about the possible benefits
- I'm optimistic about possible benefits
- I think about both the possible benefits and disadvantages
- I am conscious of the possible disadvantages
- I worry about the possible disadvantages

5 Over the years I have rarely taken risks with money.

- I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement
-

6 Imagine that you have a choice of two investments for your money. Which option would you choose?

- An investment with a lower than average income but almost no risk of the income falling further
- An investment with a higher than average income but some risk of the income falling later on
- A mixture of the two products

7 To get a potential gain, what amount of risk are you currently prepared to take with your financial investments?

- Little or none
- A small amount
- A medium amount
- A large amount

8 I would worry if I could not be sure how much income I might get every year.

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

9 If you'd made an investment with potential for much more income but also a higher risk of large losses, would you feel:

- Panicked and very uncomfortable
- Quite uneasy
- A little concerned
- Accepting of the possible highs and lows
- Excited by the potential for gain

10 I would rather have a small income I could be sure of than a larger one that was uncertain.

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

11 I am prepared to take a risk with my money if it means I could have more to spend in the long run.

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

12 I tend to choose secure options over risky ones when I am making financial decisions.

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

13 If you had money to invest, how much would you be willing to place in an investment with possible high income but an equally high chance of losing some of your money?

- All of it
- More than half
- Half
- Less than half
- Very little, if any

Customer Signature: